

## An outline of Drude theory

### 1 Static conductivity

According to classical mechanics, the motion of a free electron in a constant  $\mathbf{E}$  field obeys the Newton equation

$$m \frac{d\mathbf{v}}{dt} = -e\mathbf{E}, \quad (1)$$

whose solution is  $\mathbf{v}(t) = \mathbf{v}(0) - e\mathbf{E}t/m$ ; the electronic current density is  $\mathbf{j}(t) = -en\mathbf{v}(t)$ , where  $n = N/V$  is the electron density. The macroscopic current obeys therefore the equation:

$$\frac{d\mathbf{j}}{dt} = (n/m) e^2\mathbf{E}. \quad (2)$$

Analogous results are retrieved in quantum mechanics (QM) and the macroscopic current obeys the equation:

$$\frac{d\mathbf{j}}{dt} = (n/m)_{\text{eff}} e^2\mathbf{E}. \quad (3)$$

The quantity  $(n/m)_{\text{eff}}$  measures the density of free carriers and their (inverse) inertia. QM linear response theory provides indeed the value of  $(n/m)_{\text{eff}}$ ; this is discussed below, Sec. 4.

In order to retrieve Ohm's law, Drude introduces "by hand" a phenomenological dissipation term in the equation of motion. In QM we *cannot* do the same; nonetheless a relaxation time  $\tau$  can be inserted phenomenologically in the response functions. The classical equation of motion, including dissipation, is

$$\left( \frac{d\mathbf{j}}{dt} + \frac{\mathbf{j}}{\tau} \right) = (n/m)_{\text{eff}} e^2\mathbf{E}. \quad (4)$$

For any given initial conditions,  $\mathbf{j}(t)$  has a transient which decays exponentially with lifetime  $\tau$ . After this, the steady state solution is

$$\mathbf{j} = \sigma_{\text{Drude}}\mathbf{E} = (n/m)_{\text{eff}} e^2\tau \mathbf{E}, \quad (5)$$

where  $\sigma_{\text{Drude}}$  is the dc (i.e. static) conductivity in the Drude model. Notice that a dissipative system forgets its past, and the same steady state is reached independently of the initial conditions.

## 2 Drude theory ( $\omega$ -dependent)

We now identify the input signal with a time-dependent electric field  $\mathbf{E}(t)$  and the output one with the linearly induced current density  $\mathbf{j}(t)$ : the generalized susceptibility  $\chi$  coincides in this case with the conductivity (scalar in isotropic systems). Switching then to the frequency domain, the conductivity  $\sigma(\omega)$  measures the current linearly induced by an electric field at frequency  $\omega$

$$\mathbf{j}(\omega) = \sigma(\omega)\mathbf{E}(\omega). \quad (6)$$

We adopt our usual conventions about Fourier transforms; other conventions may change the sign of the imaginary parts in the response functions.

Inserting  $\mathbf{E}(t) = \mathbf{E}(\omega)e^{-i\omega t}$  in Eq. (4), we get

$$\left(-i\omega + \frac{1}{\tau}\right)\mathbf{j}(\omega) = (n/m)_{\text{eff}}e^2\mathbf{E}(\omega). \quad (7)$$

The Drude phenomenological formula is then

$$\sigma_{\text{Drude}}(\omega) = \frac{ie^2(n/m)_{\text{eff}}}{\omega + i/\tau}, \quad (8)$$

where  $(n/m)_{\text{eff}}$  is the quantum analogue of the original  $n/m$  in the classical theory. The dc limit is purely dissipative:

$$\sigma_{\text{Drude}}(0) = e^2(n/m)_{\text{eff}}\tau. \quad (9)$$

We rewrite Eq. (8) as

$$\sigma_{\text{Drude}}(\omega) = \frac{i}{\pi} \frac{D}{\omega + i\eta}, \quad (10)$$

where  $D = \pi e^2(n/m)_{\text{eff}}$  is the Drude weight and  $\eta = 1/\tau$ . Since  $\eta > 0$  the conductivity has a pole in the complex  $\omega$  plane at  $\omega = -i\eta$  and is analytic in the upper half plane. This fact ensures a causal response and guarantees the Kramers-Kronig relationships.

The real and imaginary parts of  $\sigma$  denote in-phase (dissipative) and out-of-phase (reactive) response to the  $\mathbf{E}$  field. Within the Drude model

$$\text{Re } \sigma_{\text{Drude}}(\omega) = \frac{1}{\pi} \frac{D\eta}{\omega^2 + \eta^2}; \quad \text{Im } \sigma_{\text{Drude}}(\omega) = \frac{1}{\pi} \frac{D\omega}{\omega^2 + \eta^2}. \quad (11)$$

In the nondissipative ( $\eta \rightarrow 0^+$ ), yet causal, limit we get

$$\text{Re } \sigma_{\text{Drude}}(\omega) = D \delta(\omega); \quad \text{Im } \sigma_{\text{Drude}}(\omega) = \frac{D}{\pi} \mathcal{P} \frac{1}{\omega}, \quad (12)$$

where  $\mathcal{P}$  denotes the principal part. The dc ( $\omega = 0$ ) in-phase conductivity has a  $\delta$ -like divergence: this accounts for the obvious fact that free electrons in a constant

field undergo free acceleration. The Drude weight measures, as said above, the inverse inertia of the many-electron system; it vanishes in insulators. For  $\eta \rightarrow 0^+$  the current does not reach a steady state limit; equivalently, we may say that the system has an undamped normal mode at  $\omega = 0$ .

### 3 Classical theory in the vector-potential gauge

As explained below, the vector-potential gauge is mandatory within QM. It is therefore instructive to alternatively derive the same results as above in the vector potential gauge. The classical current is then

$$j = -\frac{en}{m} \left( p + \frac{e}{c} A \right), \quad (13)$$

where the vector potential is time-dependent, but the dc limit is implicitly understood. The Drude conductivity is

$$\sigma_{\text{Drude}}(\omega) = \frac{dj(\omega)}{dE(\omega)} = \frac{dj(\omega)}{dA(\omega)} \frac{dA(\omega)}{dE(\omega)}. \quad (14)$$

Given that  $\mathbf{E}(\omega) = i\omega\mathbf{A}(\omega)/c$ , causal inversion yields

$$\frac{dA(\omega)}{dE(\omega)} = -\lim_{\eta \rightarrow 0^+} \frac{ic}{\omega + i\eta} = -c \left[ \pi\delta(\omega) + \frac{i}{\omega} \right]. \quad (15)$$

Since we are interested in the dc limit only, it will be enough to derive Eq. (13) with respect to a *static* vector potential, hence

$$\frac{dj}{dA} = -\frac{e^2 n}{mc}, \quad (16)$$

$$\text{Re } \sigma_{\text{Drude}}(\omega) = \frac{e^2 \pi n}{m} \delta(\omega) : \quad (17)$$

as expected, this is the same result as found above.

### 4 Quantum mechanics

The conductivity tensor in QM is defined via linear-response theory; its expression belongs to the family of Kubo formulas, thoroughly discussed in the Lecture Notes.

In general longitudinal conductivity is a symmetric Cartesian tensor  $\sigma_{\alpha\beta}$ , and is the sum of a regular term and a Drude ( $\delta$ -like) term:

$$\text{Re } \sigma_{\alpha\beta}(\omega) = D_{\alpha\beta} \delta(\omega) + \sigma_{\alpha\beta}^{(\text{regular})}(\omega). \quad (18)$$

The Drude term accounts for free acceleration, in analogy to the classical case. Recalling our previous definition  $D = \pi e^2 (n/m)_{\text{eff}}$ , linear-response theory provides the QM expression for  $(n/m)_{\text{eff}}$ . The Kubo formula for conductivity can be formally written even for correlated systems, and even for finite temperature.

In order to deal with dc currents within Born-von-Kàrmàn periodic boundary conditions it is mandatory to adopt the vector-potential gauge (no steady current may flow in a bounded sample); ergo

$$\sigma_{\alpha\beta}(\omega) = \frac{\partial j_\alpha(\omega)}{\partial E_\beta(\omega)} = \frac{\partial j_\alpha(\omega)}{\partial A_\beta(\omega)} \frac{dA(\omega)}{dE(\omega)} = -c \frac{\partial j_\alpha(\omega)}{\partial A_\beta(\omega)} \left[ \pi\delta(\omega) + \frac{i}{\omega} \right], \quad (19)$$

where the factor  $\partial j_\alpha(\omega)/\partial E_\beta(\omega)$  requires in general time-dependent perturbation theory (i.e. a sum-over-states Kubo formula).

However, if we are interested in the dc response only, it will be enough to insert into Eq. (19) the response of the many-electron system to a *static* vector potential  $\mathbf{A}$  (constant in space):

$$\sigma_{\alpha\beta}^{(\text{D})}(\omega) = -c \frac{\partial j_\alpha}{\partial A_\beta} \left[ \pi\delta(\omega) + \frac{i}{\omega} \right]. \quad (20)$$

The QM expression for Drude weight is then

$$D_{\alpha\beta} = -c\pi \frac{\partial j_\alpha}{\partial A_\beta}. \quad (21)$$

In the special case of noninteracting electrons in a periodic (mean-field) potential we define the  $\alpha$  component of the electron velocity in the  $n$ -th band as

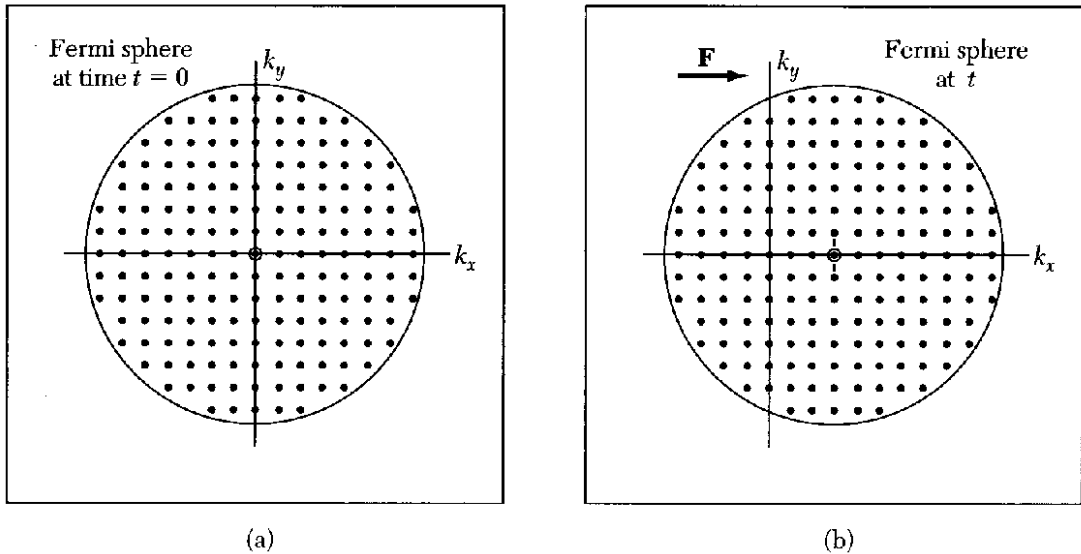
$$v_{n\alpha}(\mathbf{k}) = \frac{1}{\hbar} \frac{\partial \varepsilon_n(\mathbf{k})}{\partial k_\alpha}; \quad (22)$$

the Drude weight can be cast as

$$D_{\alpha\beta} = -\frac{2\pi e^2}{(2\pi)^3} \sum_n \int d\mathbf{k} \frac{\partial f_\epsilon}{\partial \varepsilon_n(\mathbf{k})} v_{n\alpha}(\mathbf{k}) v_{n\beta}(\mathbf{k}), \quad (23)$$

where  $f_\epsilon$  is the Fermi distribution function. At zero temperature  $D$  is a pure Fermi-surface property, i.e.  $D$  depends only on the shape of the Fermi surface and on the  $\mathbf{k}$ -derivatives of the band structure  $\varepsilon_n(\mathbf{k})$  at the Fermi surface. Clearly, these are the only ingredients which can account for free acceleration in a crystalline system.

Eq. (23) is derived at the semiclassical level in Chap. 13 of the Ashcroft-Mermin textbook. We remind that a full QM approach requires dealing with the vector potential, while the semiclassical approximation allows dealing with the field  $\mathbf{E}$ : this makes life easier. The general QM theory of the Drude weight can be found in the Lecture Notes.



**Figure 10** (a) The Fermi sphere encloses the occupied electron orbitals in  $\mathbf{k}$  space in the ground state of the electron gas. The net momentum is zero, because for every orbital  $\mathbf{k}$  there is an occupied orbital at  $-\mathbf{k}$ . (b) Under the influence of a constant force  $\mathbf{F}$  acting for a time interval  $t$  every orbital has its  $\mathbf{k}$  vector increased by  $\delta \mathbf{k} = \mathbf{F}t/\hbar$ . This is equivalent to a displacement of the whole Fermi sphere by  $\delta \mathbf{k}$ . The total momentum is  $N\hbar\delta \mathbf{k}$ , if there are  $N$  electrons present. The application of the force increases the energy of the system by  $N(\hbar\delta \mathbf{k})^2/2m$ .

It is a simple exercise to apply Eq. (23) to the simple case of noninteracting electrons in zero potential (free electron gas), and at zero temperature. In this case we have:

$$f_\epsilon = \theta(\epsilon_F - \epsilon), \quad \epsilon(k) = \frac{\hbar^2}{2m}k^2; \quad (24)$$

$$f'_{\epsilon(\mathbf{k})} = -\delta(\epsilon_F - \frac{\hbar^2}{2m}k^2) = -\frac{m}{\hbar^2 k_F} \delta(k_F - k); \quad \frac{1}{3}v^2 = \frac{\hbar^2 k_F^2}{3m^2}. \quad (25)$$

$$D = -\frac{2\pi e^2}{(2\pi)^3} \left( -\frac{m}{\hbar^2 k_F} \right) 4\pi k_F^2 \frac{\hbar^2 k_F^2}{3m^2} = \pi e^2 \frac{k_F^3}{3\pi^2 m} = \pi e^2 \frac{n}{m}. \quad (26)$$

It is remarkable that for noninteracting electrons in zero potential we get precisely  $(n/m)_{\text{eff}} = n/m$ , i.e. the QM result coincides with the classical one, obtained by Drude in 1900. In other words Schrödinger equation, Pauli principle, and Fermi-Dirac statistics do not provide any correction to the original Drude result in this simple case. The reasons why this happens are pretty clear from a figure in Kittel, reproduced here.